

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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|---|---------------------------|
| Applicant(s): PHAM, Nam Dinh                |                           |
| Application No.: 10/027,903                 | Art Unit: 3693            |
| Filed: 12/20/2001                           | Examiner: HAVAN, Thu Thao |
| Title: Financial Exchange System and Method |                           |
| Attorney Docket No.: 213-0101               |                           |

**REQUEST FOR CORRECTION**

Office of Initial Patent Examination's  
Customer Service Center  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Petitioner hereby requests a correction in the Filing Receipt of the above-referenced patent application. Enclosed is a copy of the Filing Receipt with the changes noted thereon.

Respectfully submitted,

\_\_\_\_\_  
July 28, 2009  
Date

\_\_\_\_\_  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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## BIB DATA SHEET

CONFIRMATION NO. 3219

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|---|---|--|--|--|---------------------------|--------------------------------|
| <b>SERIAL NUMBER</b><br>10/027,903  | <b>FILING or 371(c) DATE</b><br>12/20/2001<br><b>RULE</b>   | <b>CLASS</b><br>705  | <b>GROUP ART UNIT</b><br>3695                                | <b>ATTORNEY DOCKET NO.</b><br>367213-001 |                           |                                |
| <b>APPLICANTS</b><br>Nam Dinh Pham, Frederick, MD; Washington D.C.<br><b>** CONTINUING DATA *****</b><br><b>** FOREIGN APPLICATIONS *****</b><br><b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **</b><br>01/30/2002                                      |   |  |  |  |                           |                                |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>Verified and Acknowledged <u>/THU-THAO HAVAN/</u><br>Examiner's Signature |   | <input checked="" type="checkbox"/> Met after Allowance<br>TTH<br>Initials | <b>STATE OR COUNTRY</b><br>MD D.C.                           | <b>SHEETS DRAWINGS</b><br>9              | <b>TOTAL CLAIMS</b><br>40 | <b>INDEPENDENT CLAIMS</b><br>2 |
| <b>ADDRESS</b><br><del>DECHERT LLP</del> IPxLaw Group LLP, 95. S. Market St., Suite 570, San Jose, CA 95113<br><del>P.O. BOX 390460</del><br><del>MOUNTAIN VIEW, CA 94039-0460</del><br>UNITED STATES   |   |  |  |  |                           |                                |
| <b>TITLE</b><br>Financial exchange system and method  |   |  |  |  |                           |                                |
| <b>FILING FEE RECEIVED</b><br>550   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |  | <input type="checkbox"/> All Fees                            |  |                           |                                |
|   |   |  | <input type="checkbox"/> 1.16 Fees (Filing)                  |  |                           |                                |
|   |   |  | <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) |  |                           |                                |
|   |   |  | <input type="checkbox"/> 1.18 Fees (Issue)                   |  |                           |                                |
|   |   |  | <input type="checkbox"/> Other _____                         |  |                           |                                |
|   |   |  | <input type="checkbox"/> Credit                              |  |                           |                                |